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06/28/01

6-29-01 A

PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 28]
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 9 ]
5. Oath or Declaration [ Total Pages 2 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

Attorney Docket No.	MS150905.1
First Inventor	Eric J. Horvitz
Title	SYSTEM, REPRESENTATION, AND...
Express Mail Label No.	EL798606666US

JC821 U.S.P.T.O.  
09/893827

06/28/01

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  Express Mail Certificate
17.  Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

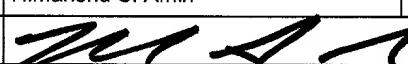
Prior application information: Examiner \_\_\_\_\_

Group Art Unit. \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/>	Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			<input type="checkbox"/>	Correspondence address below
Name	Himanshu S. Amin					
Address	24th Floor, National City Center					
	1900 East 9th Street					
City	Cleveland	State	Ohio	Zip Code	44114	
Country	United States	Telephone	(216) 696-8730		Fax (216) 696-8731	

Name (Print/Type)	Himanshu S. Amin	Registration No. (Attorney/Agent)	40,894
Signature			Date June 28, 2001

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 1,288.00)

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Eric J. Horvitz
Examiner Name	
Group Art Unit	
Attorney Docket No.	MS150905.1

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-1063
Deposit Account Name	Amin & Turocy, LLP

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee	
101	710	201 355 Utility filing fee	710
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$ 710.00)</b>

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
41	-20** = 21	x 18	= 378
5	-3** = 2	x 80	= 160
Multiple Dependent		0	= 0

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		
<b>(\$ 538.00)</b>		

\*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES	Fee Description	Fee Paid
Large Entity	Small Entity	
Fee Code (\$)	Fee Code (\$)	
105	130	205 65 Surcharge - late filing fee or oath
127	50	227 25 Surcharge - late provisional filing fee or cover sheet
139	130	139 130 Non-English specification
147	2,520	147 2,520 For filing a request for <i>ex parte</i> reexamination
112	920*	112 920* Requesting publication of SIR prior to Examiner action
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action
115	110	215 55 Extension for reply within first month
116	390	216 195 Extension for reply within second month
117	890	217 445 Extension for reply within third month
118	1,390	218 695 Extension for reply within fourth month
128	1,890	228 945 Extension for reply within fifth month
119	310	219 155 Notice of Appeal
120	310	220 155 Filing a brief in support of an appeal
121	270	221 135 Request for oral hearing
138	1,510	138 1,510 Petition to institute a public use proceeding
140	110	240 55 Petition to revive - unavoidable
141	1,240	241 620 Petition to revive - unintentional
142	1,240	242 620 Utility issue fee (or reissue)
143	440	243 220 Design issue fee
144	600	244 300 Plant issue fee
122	130	122 130 Petitions to the Commissioner
123	50	123 50 Petitions related to provisional applications
126	240	126 240 Submission of Information Disclosure Stmt
581	40	581 40 Recording each patent assignment per property (times number of properties)
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279 355 Request for Continued Examination (RCE)
169	900	169 900 Request for expedited examination of a design application
Other fee (specify) _____		
Reduced by Basic Filing Fee Paid		
<b>SUBTOTAL (3)</b>		
<b>(\$ 40.00)</b>		

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Himanshu S. Amin	Registration No (Attorney/Agent)	40,984	Telephone 216-696-8730
Signature		Date	June 28, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Eric J. Horvitz
Title	SYSTEM, REPRESENTATION, AND METHOD...
Atty Docket Number	MS150905.1

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

6-28-01

Date



Signature

Himanshu S. Amin (Reg. No. 40,894)

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(ii)).**